

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09918990
Filing Date	2001-07-31
First Named Inventor	David C. Parkers
Art Unit	3692
Examiner Name	Oyebisi, Ojo O
Attorney Docket Number	YOR920010531US1

**U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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1	David C. Parkers, et al. "ACHIEVING BUDGET-BALANCE WITH VICKREY-BASED PAYMENT SCHEMES IN EXCHANGES, In Proc. 17th Int'l Joint Conference on Artificial Intelligence (IJCAI-01). pp. 1161-1168	<input checked="" type="checkbox"/>
2	Sven de Vries, et al., "COMBINATORIAL AUCTIONS: A SURVEY," June 15, 2001, ppgs 1-67	<input checked="" type="checkbox"/>
3	Theodore Groves, "INCENTIVES IN TEAMS," <i>Econometrica</i> , Vol. 41, Issue 4 July 1973	<input checked="" type="checkbox"/>
4	Jayant R. Kalagnanam, et al., "COMPUTATIONAL ASPECTS OF CLEARING CONTINUOUS CALL DOUBLE AUCTIONS WITH ASSIGNMENTS CONSTRAINTS AND INDIVISIBLE DEMAND," ppgs. 1-15	<input checked="" type="checkbox"/>
5	Salvador Barbera, et al., "STRATEGY-PROOF EXCHANGE," <i>Econometrica</i> , Volume 63, Issue 1 (Jan., 1995), 51-67	<input checked="" type="checkbox"/>
6	Daniel Lehmann, "TRUTH REVELATION IN APPROXIMATELY EFFICIENT COMBINATORIAL AUCTIONS," ppgs. 1- 35	<input checked="" type="checkbox"/>
7	Michael H. Rothkopf, et al. "COMPUTATIONALLY MANAGEABLE COMBINATORIAL AUCTIONS," DIMACS Technical Report 95-09, April 1995	<input checked="" type="checkbox"/>
8	Tuomas Sandholm, "AN ALGORITHM FOR OPTIMAL WINNER DETERMINATION IN COMBINATORIAL AUCTIONS	<input checked="" type="checkbox"/>
9	Jeffrey K. Mackie-Mason, "GENERALIZED VICKREY AUCTIONS," July 1994	<input checked="" type="checkbox"/>
10	William Vickrey, "COUNTERSPECULATION, AUCTIONS, AND COMPETITIVE SEALED TENDERS, <i>Journal of Finance</i> , Volume 16, Issue 1 (Mar., 1961), 8-37.	<input checked="" type="checkbox"/>
11	William E. Walsh, et al., "COMBINATORIAL AUCTIONS FOR SUPPLY CHAIN FORMATION," EE'00, October 17-20, 2000	<input checked="" type="checkbox"/>

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12	Peter R. Wurman, et al., "FLEXIBLE DOUBLE AUCTIONS FOR ELECTRONICS COMMERCE: THEORY AND IMPLEMENTATION," July 13, 1998, ppgs 1-21	<input checked="" type="checkbox"/>
13	Edward H. Clarke, "MULTIPART PRICING OF PUBLIC GOODS," ppgs. 15-33	<input checked="" type="checkbox"/>
14	Roger B. Myerson, "OPTIMAL AUCTION DESIGN," Mathematics of Operations Research Vol. 6, No. 1, February 1981, ppgs. 58-73	<input checked="" type="checkbox"/>

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**EXAMINER SIGNATURE**

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).


☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2007-10-31
Name/Print	Nathaniel T. Wallace	Registration Number	48909

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**